



**Matthew G. Bevin**  
Governor

## **Kentucky Real Estate Commission**

656 Chamberlin Avenue, Suite B  
Frankfort, Kentucky 40601  
(502) 564-7760  
Fax (502) 564-3969  
Toll Free (888) 373-3300  
[krec.ky.gov](http://krec.ky.gov)

**David A. Dickerson, Secretary**  
Public Protection Cabinet

**H.E. Corder II, Executive Director**  
Kentucky Real Estate Authority

### **FORM 701**

This is the form that you must file to make a formal complaint against a real estate sales associate and/or broker licensed by the Kentucky Real Estate Commission (the “KREC”).

The Complaint form must be filled out concisely and include all pertinent facts. Please attach copies of any documents that the KREC should consider.

Please mail your Complaint and any attachments to the above address.

All Complaints, plus attachments, are to be submitted on 8 ½ x 11 inch paper and without staples. The

Complaint must be notarized to be considered by the KREC.

If your Complaint is received and these guidelines are not adhered to, it may be returned to you for compliance.

The KREC does not accept Complaints that allege the following, because it has no authority over such matters:

1. Commission disputes between licensees;
2. Issues of contractual interpretation or attempts to enforce contractual obligations;
3. Actions against a seller who does not hold a real estate license; and
4. Enforcement of Realtor Code of Ethics if the ethical violation does not also constitute a violation of license law.

## SWORN STATEMENT OF COMPLAINT

**YOUR COMPLAINT MUST BE SUBMITTED WITH THIS FORM.**  
Please type the narrative portion beginning on page 2 if possible.

Are you a Licensed Real Estate Agent or Broker? Yes \_\_\_\_\_ No \_\_\_\_\_

*(Full names only, no initials or nicknames)*

COMPLAINANT: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_

COUNTY: \_\_\_\_\_

TELEPHONE: (\_\_\_\_) \_\_\_\_\_ (Day)  
(\_\_\_\_) \_\_\_\_\_ (Evening)  
(\_\_\_\_) \_\_\_\_\_ (Fax)  
(\_\_\_\_) \_\_\_\_\_ (Cellular)  
\_\_\_\_\_ (E-mail)

RESPONDENT: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_

COUNTY: \_\_\_\_\_

RESPONDENT: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_

COUNTY: \_\_\_\_\_

REAL ESTATE COMPANY: \_\_\_\_\_

PRINCIPAL BROKER: \_\_\_\_\_

COMPANY ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE \_\_\_\_\_ ZIP \_\_\_\_\_

COUNTY: \_\_\_\_\_

STATE OF KENTUCKY

COUNTY OF \_\_\_\_\_



Please give the date you became aware of the problem surrounding this complaint:

\_\_\_\_\_.

**and**

Please note whether there was a home inspection conducted on this transaction:

\_\_\_\_\_.

Signature \_\_\_\_\_  
Complainant

Sworn and Subscribed before me this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

My Commission expires \_\_\_\_\_

Signature \_\_\_\_\_  
Co-Complainant or Spouse

Sworn and Subscribed before me this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

My Commission expires \_\_\_\_\_

The document must be attached with this Complaint for proper processing.

## DAMAGES CLAIMED

Please fill out the following blanks, detailing damages that you are claiming in this complaint. If you are not claiming damages, please write "NONE." If you are claiming damages, please list each item separately and include the damage amount for each item. Please attach any and all receipts to this form and include this sheet with the complaint. Please attach any additional sheets as necessary. **Emotional damages, attorney's fees, and pain and suffering are not recoverable at the Kentucky Real Estate Commission.**

Amount

Item

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Reason:

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Amount

Item

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Reason:

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Amount

Item

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Reason:

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Amount

Item

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Reason:

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List individuals giving estimates on the above named damages:

Name	Company
_____	_____

Phone Number	Address
(____) _____	_____

Name	Company
_____	_____

Phone Number	Address
(____) _____	_____

Name	Company
_____	_____

Phone Number	Address
(____) _____	_____

Name	Company
_____	_____

Phone Number	Address
(____) _____	_____